

Resident RCA on Adverse or Near Miss Event

Adverse Event or Near Miss:	MR# if Pt-Specific:	Resident:	Occurrence Date:
		Dept:	Review Date:

Step 1: Utilize the one page Patient Healthcare Matrix to identify opportunities for improvement in the adverse event or near miss.

Step 2: Individually list each issue that contributed to the adverse event and identify the root cause(s) by answering the 5 WHYS below. Continue with questions until the root cause is agreed upon.

Issue(s) contributing to Event	WHY? (1)	WHY? (2)	WHY? (3)	WHY? (4)	WHY? (5)
1.					
2.					
3.					

Step 3: Develop Action Plan for Root Cause(s) What should be done to prevent recurrence? (Shaded areas to be completed by UTMC)

Root Cause/Issue to be Addressed	Risk Reduction / Corrective Action Recommended	Referred to Whom by SWAT? Date?	Action Taken? Date?
1.			
2.			
3.			

Step 4: After presenting at appropriate departmental meeting, fax or email to Linda Davis, Patient Safety Manager (fax: 8980/ phone: 305-6550). Patient Safety will forward any UTMC action items to the applicable leader(s).